In Vitro Micronucleus Positive or Negative?

Background

- Drug candidate for chronic and non-life-threatening indication
- Genotoxicity Testing Summary
 - Ames (GLP and non-GLP) Negative
 - In vivo micronucleus up to 750 mg/kg/day (GLP and non-GLP) Negative
 - Add-on to multi dose tox study
 - In vitro micronucleus (non-GLP) Negative
 - In vitro micronucleus (GLP) Negative?

In Vitro Micronucleus Platform

- Exploratory and GLP assay performed in the same lab using same SOP
 - Same scorer and study director
- CHO Cells
- Manual Microscopic Scoring (Acridine Orange stain)
 - 4000 cells in vehicle control
 - 2000 cells treated
- Relative Population Doubling (%RPD) Toxicity Assessment
- 3 Treatment Conditions
 - 3 hours without S9 with 21-hour recovery
 - 3 hours with S9 with 21-hour recovery
 - 24 hours without S9
- Historical Vehicle Control upper limit 2.27% micronucleated cells
- Precipitate assessed in media at start of treatment and end of treatment by eye and microscopically.

In Vitro MN Results – Exploratory Assay

- Exploratory MN Study in CHO cells Negative
 - Max dose limited to 200 μ M by solubility in 3-hour treatments (with/without S9)
 - 65% Relative Population Doubling at highest dose (with S9)
 - Max dose limited to 105 μ M by toxicity in 24-hour treatment
 - 46% Relative Population Doubling at highest dose
 - Assay met all criteria for a valid test compliant with OECD and ICH S2(R1) Guidelines

| <u>24-hour wi</u> | <u>thout S9</u> | | <u>3 hours without S9</u> | | | | <u>3 hours with S9</u> | | | |
|-----------------------|-----------------|------|---------------------------|---------|-------|----|------------------------|---------|-------|-----|
| Concentration (uM) | %RPD | %MN | Concentration (uN | И) %RPD | %MN | | Concentration (uN | 1) %RPC |)%MN | |
| 0 | 100 | 1.78 | 0 | 100 | 1.35 | | 0 | 100 | 1.73 | |
| 10 | 88 | 1.75 | 10 | 98 | 1.45 | | 10 | 103 | 1.25 | _ |
| 60 | 59 | 1.85 | 105 | 80 | 1.35 | | 105 | 78 | 2.25 | |
| 105 | 46 | 1.85 | 200 | 73 | 2.20 | | 200 | 65 | 1.75 | ppt |
| 130 | 25 | NS | 250 | 41 | NS pp | pt | СР | 73 | 6.10* | : |

NS=Not Scored

CP – Cyclophosphamide 10 μM

In Vitro MN Results – GLP Assay

- Same form of compound but different batch
- Negative in 3-hour and 24-hour treatments without S9
 - Toxicity-limited dose 210 μM (3-hour) and 85 μM (24-hour)
- Single positive point in 3-hour treatment with S9
 - Tested up to 175 uM (solubility-limited dose)
 - Weak increase in %MN at 61% RPD Statistically significant and just outside the historical control distribution
 ^{3 hours with S9}

| 24-hour with | out S9 | | <u> </u> | vithout S9 | | _ |
|--------------------|--------|------|-----------------|------------|------|-----|
| Concentration (uM) | %RPD | %MN | Concentration (| uM) %RPD | %MN | |
| 0 | 100 | 1.33 | 0 | 100 | 1.35 | |
| 10 | 92 | 1.4 | 50 | 86 | 1.25 | |
| 50 | 66 | 1.45 | 200 | 66 | 1.80 | |
| 85 | 52 | 1.6 | 210 | 57 | 1.25 | |
| 90 | 42 | NS | 220 | 42 | NS | ppt |

| | | 1.55 | | |
|-----------------|-----------------|-----------------|--------------------|----|
| Concentra | tion (uM) | %RPD | %MN | |
| C |) | 100 | 1.20 | |
| 5 | 0 | 102 | 1.43 | |
| 15 | 50 | 82 | 1.68 | |
| <mark>17</mark> | <mark>'5</mark> | <mark>61</mark> | <mark>2.45*</mark> | pp |
| 20 | 00 | NS | NS | pp |
| С | Р | 67 | 5.95* | _ |
| | | | | - |

NS=Not Scored CP – Cyclophosphamide 10 μM

Repeat of 3-Hour Treatment with S9

• Positive point repeated but observed precipitate and toxicity shifted

| 3 hours with S9 - Repeat | | | | | | | | | | |
|--------------------------|-----------------|--------------------|-----|--|--|--|--|--|--|--|
| Concentration (uM) | %RPD | %MN | | | | | | | | |
| 0 | 100 | 1.53 | | | | | | | | |
| 10 | 101 | 2.30 | | | | | | | | |
| 50 | 85 | 2.10 | | | | | | | | |
| <mark>150</mark> | <mark>76</mark> | <mark>2.65*</mark> | | | | | | | | |
| 175 | 36 | NS | ppt | | | | | | | |
| СР | 77 | 5.90* | | | | | | | | |

Summary of data in CHO Cells (3 hour + S9)

Assessment and Next Steps

Evelovetow

- Shifts in precipitate and toxicity suggests that the results are confounded by inconsistent solubility and resulting toxicity
- Precipitate is particularly difficult to assess in culture media containing S9. Possible that 150 uM contained precipitate

GLP 2

 Follow up study performed to determine if there is any evidence of genotoxicity and identify potential confounding effects at ≥150 uM

| Exploratory | | | | GLP J | L | | | | | | |
|------------------|---------|-------|-----------------|-------------------|-----------------|---------|--------------------------|--------------------|-----------------|-----------------|-----|
| <u>3 hours v</u> | with S9 | | 3 hours with S9 | | | | 3 hours with S9 - Repeat | | | | |
| Concentration (u | M) %RPD | %MN | | Concentration (uM | I) %RPC |) %MN | | Concentration (uM) | %RPD | %MN | |
| 0 | 100 | 1 73 | | 0 | 100 | 1.20 | | 0 | 100 | 1.53 | |
| 10 | 100 | 1.75 | | 50 | 102 | 1.43 | | 10 | 101 | 2.30 | |
| 10 | 103 | 1.25 | | 150 | 82 | 1.68 | | 50 | 85 | 2.10 | |
| 105 | 78 | 2.25 | | 175 | <mark>61</mark> | 2 / 5 * | ont | 150 | 76 | 2 65* | |
| 200 | 65 | 1 75 | nnt | | | 2.45 | ο <mark>ρι</mark> | 130 | 70 | 2.05 | |
| 200 | 05 | 1.75 | ρρι | 200 | NS | NS | opt | 1/5 | <mark>36</mark> | <mark>NS</mark> | ppi |
| СР | 73 | 6.10* | | СР | 67 | 5.95* | | СР | 77 | 5.90* | _ |

Micronucleus Assessment in TK6 Cells with Samples Taken for Biomarker Assessment

- No MN induction in TK6 cells down to 71% RPD
- Steep tox between 50 and 100 uM
- Precipitate corelates with steep toxicity induction in TK6 cells
- Maximum dose limited by toxicity
- Repeat study not performed due to shifting toxicity and precipitate observed in previous studies
- Biomarker Analysis on the same culture used to supplement analysis

| 4 hours with S9 | | | | | | | | |
|--------------------|------|------|--|--|--|--|--|--|
| Concentration (uM) | %RPD | %MN | | | | | | |
| 0 | 100 | 1.28 | | | | | | |
| 10 | 123 | 1.20 | | | | | | |
| 50 | 71 | 1.15 | | | | | | |
| 100 | 34 | NS | | | | | | |
| СР | 47 | 2.6* | | | | | | |

Exploratory Genotoxicity Biomarker Assay (MultiFlowTM) in TK6 cells

- Antibody-based flow cytometric assay of cellular responses to genotoxicity (after 4 h & 24 h in TK6 cells
- **MultiFlow[™]** assay can differentiate between categories of genotoxicity:
 - Clastogenicity: chromosomal breakage
 - <u>Phosphorylated p53:</u> when transported to the nucleus, signals DNA damage
 - <u>gamma H2AX</u>: when phosphorylated is an early read for double stranded DNA breaks
 - Aneugenicity: abnormal number of chromosomes
 - <u>Phospho-Histone H3:</u> indicator of mitotic cells
 - <u>Polyploidy</u>: indicator of cells with greater than normal amount of DNA
 - Apoptosis Cleaved-PARP

| Positive Call Cut-off values applied (fold increases) | | | | | | | | | | |
|----------------------------------------------------------|-------|-------------|------------|------------|--|--|--|--|--|--|
| | γΗ2ΑΧ | Nuclear p53 | Phospho-H3 | polyploidy | | | | | | |
| 4 hr | 1.33 | 1.16 | 1.99 | na | | | | | | |
| 24 hr | 1.51 | 1.4 | 1.55 | 5.3 | | | | | | |

(Multiflow kit, Litron Laboratories, Rochester, NY)

Protocol and positive controls not optimized for treatment in presence of S9 at the time studies were conducted



Apoptosis

- Apoptosis assessed after 4 hours of treatment in the presence of S9 and after a 20 hour recovery period
- Increases in apoptosis observed at 4 and 24 hours in the concentration range where precipitate precluded MN assessment
- CCCP is positive control for apoptosis induction (not genotoxic) but cells typically treated for 24 hours continuously to induce robust response

Markers of Clastogenicity: γH2AX



- No induction of clastogenicity in the presence of S9 for 4 hours or following 20-hour recovery
- MMS is the positive control for gammaH2AX and response observed at 4 hours but did not reach response threshold after 20-hour recovery
- Dotted line on graph represents response threshold established for the endpoint at each time point

Markers of Clastogenicity: Nuclear P53 Induction



Concentration in Media (µM)

- No induction of P53 nuclear translocation after treatment with either test article or positive controls
- Endpoint assessment not optimized for 4 hour treatment with S9 followed by 20 hour recovery period

Markers of Aneugenicity



- No induction of aneugenicity in the presence of S9 for 4 hours or following 20-hour recovery
- Carbendazim (CBZ) is the positive control for aneugenicity and response observed at 4 hours but did not reach response threshold after 20-hour recovery
- Dotted line on graph represents response threshold established for the endpoint at each time point

TK6 Cell Cycle Analysis 24 hrs

Note: y-axis is not the same in all graphs



Summary of the GLP In Vitro Micronucleus Assay

- Compound did not induce micronuclei in both treatments without metabolic activation (3-hour and 24-hour) when tested up to toxicitylimiting concentrations
- Compound led to a statistically significant increase in micronuclei in the 3hour treatment condition with metabolic activation
 - The response was just outside the historical control range
 - The response reproduced and corelated with precipitate, which was inconsistent between studies and difficult to assess in the presence of S9
 - Follow-up studies in TK6 cells showed no induction in micronuclei or any biomarker of genotoxicity up to 200 uM
 - The observed increase in micronuclei in the presence of S9 was confounded by precipitate and is therefore considered not biologically relevant and uninterpretable.
- Compound is negative for induction of micronuclei in the in vitro micronucleus assay.

Questions

- Do you agree that the compound is negative for induction of micronuclei in vitro and the results in the presence of S9 could not be interpreted?
 - In the context of total WoE
- What additional studies would you have done (or would like to see) to conclude that the compound does not induce chromosome damage
 - Chromosome aberration analysis likely also confounded by ppt and apoptosis

Back UP

Genotoxicity Biomarker Analysis in TK6 cells (4hour treatment +S9 with 21- hour recovery)

- No evidence of genotoxicity up to the maximum concentration tested (200 uM) at either 4 hours or 24 hours
- Precipitate and steep induction of apoptosis starting at 150 uM

| | | | | | | | | Aneugenicity | y Biomarker | s | | | | |
|-------------------------|----------------------|------------------------|----------------|---------------|----------------|------------|--------------|----------------------------------|-----------------------------------|-----------------------|--------------------------------------------------|-------------------------|--------------------------|---------------------------------------------|
| | | | | 0 | Clastogenicit | y Biomarke | rs | | | | | | | |
| | | | | | | | Fold Increas | e | | | | | | |
| | | Concentrati on (µM) | 24 hr % RNC | 4 hr γH2AX | 24 hr γH2AX | 4 hr p53 | 24 hr p53 | 4 hr % Phospho- Histone H3 | 24 hr % Phospho- Histone H3 | 24 hr % Polyploidy | % Apoptotic Cells at 24 Hr ¹ | 4hr Cleaved PARP+ | 24hr Cleaved PARP+ | Excluded Due to: P, T, A ² |
| Metabolic Activation | Compound | Thresh | old Values: | 1.51 | 2.11 | 1.4 | 1.45 | 1.71 | 1.52 | 5.86 | 30 | | | |
| | DMSO | 1% | 104.9 | 0.99 | 1.09 | 0.98 | 1 | 1.05 | 1.06 | 1.03 | 3.4 | 2.7 | 4 | |
| | DMSO | 1% | 95.1 | 1.01 | 0.91 | 1.02 | 1 | 0.95 | 0.94 | 0.97 | 2.8 | 2.7 | 2.4 | |
| | | 10 | 126 | 0.95 | 1.05 | 1.02 | 0.97 | 1.05 | 0.91 | 0.75 | 2.4 | 2 | 3.3 | |
| | | 50 | 86.4 | 0.97 | 1.05 | 1.01 | 1.04 | 0.91 | 0.99 | 0.67 | 2.6 | 2.3 | 3.1 | |
| | | 100 | 71.2 | 1.2 | 0.98 | 1.02 | 1.08 | 1.41 | 0.99 | 0.92 | 4.7 | 3.1 | 3.6 | |
| | | 150 | 60.3 | 1.34 | 1.07 | 1.06 | 1.21 | 0.88 | 1.49 | 1.99 | 7.5 | 3.4 | 8.5 | ppt |
| | | 175 | 55.3 | 1.31 | 1.11 | 1.09 | 1.22 | 0.59 | 1.37 | 2.53 | 10.2 | 7.3 | 9.8 | ppt |
| | | 200 | 44.9 | 1.18 | 1.1 | 1.11 | 1.28 | 0.8 | 1.21 | 2.81 | 15.4 | 8.6 | 15.3 | ppt |
| | Cyclophos phamide | 6 | 64 | 1.27 | 1.45 | 1.02 | 1.41 | 0.55 | 0.9 | 2 | 6 | 2 | 6.6 | |
| | MMS | 50 | 64.7 | <u>1.95</u> | 2.05 | 1.11 | 1.34 | 1.42 | 0.79 | 2.16 | 6.2 | 4.3 | 4.7 | |
| | CBZ | 50 | 62.2 | 1.27 | 0.77 | 1.18 | 1.01 | <u>6.74</u> | 0.92 | 1.48 | 7.2 | 5.2 | 7 | |
| | CCCP | 25 | 74.2 | 1.37 | 1.03 | 1.15 | 1.12 | <u>3.44</u> | 1.18 | 1.44 | 6 | 6.7 | 5.8 | |